

DECLARATION FOR UTILITY OR DESIGN PATENT APPLICATION (37 CFR 1.63)		Attorney Docket Number	23540-09582 (2004-167-2)
		First Named Inventor	Bing Guo
<input type="checkbox"/> Declaration Submitted With Initial Filing OR <input checked="" type="checkbox"/> Declaration Submitted after Initial Filing (surcharge (37 CFR 1.16 (e)) required)		COMPLETE IF KNOWN	
		Application Number	10/576,776
		Filing Date	August 20, 2006
		Art Unit	Unknown
		Examiner Name	Unknown

I hereby declare that:

Each inventor's residence, mailing address, and citizenship are as stated below next to their name.

I believe the inventor(s) named below to be the original and first inventor(s) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

Methods for Preparing and Functionalizing Nanoparticles

(Title of the Application)

the specification of which

☐ is attached hereto

OR

☒ was filed on (MM/DD/YYYY) 08/20/2006 as United States Application Number or PCT International

Application Number 10/576,776 and was amended on (MM/DD/YYYY) (if applicable).

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment specifically referred to above.

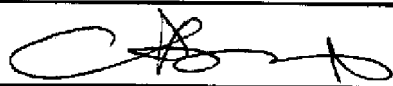
I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.56, including for continuation-in-part applications, material information which became available between the filing date of the prior application and the national or PCT International filing date of the continuation-in-part application.

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Prior Foreign Application Number(s)	Country	Foreign Filing Date (MM/DD/YYYY)	Priority Not Claimed	Certified Copy Attached?	
				Yes	No
PCT/US2004/035295	WO	10/22/2004	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

☐ Additional foreign application numbers are listed on a supplemental priority data sheet PTO/SB/02B attached hereto.

DECLARATION – Utility or Design Patent Application

Direct all correspondence to: <input checked="" type="checkbox"/> Customer Number: 758 OR <input type="checkbox"/> Correspondence address below			
Name			
Address			
City		State	ZIP
Country	Telephone	Fax	
I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.			
NAME OF SOLE OR FIRST INVENTOR:		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle [if any])		Family Name or Surname	
Bing		Guo	
Inventor's Signature 			Date 11/7/2006
Residence: City	State	Country	Citizenship
Woodland College Station	CA TX	USA	China
Mailing Address			
776 Laugenour Drive 800 Fore Ct.			
City	State	ZIP	Country
Woodland College Station	CA TX	95775 77845	USA
NAME OF SECOND INVENTOR:		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle [if any])		Family Name or Surname	
Ian M.		Kennedy	
Inventor's Signature			Date
Residence: City	State	Country	Citizenship
Davis	CA	USA	USA
Mailing Address			
2754 Eel Place			
City	State	ZIP	Country
Davis	CA	95616	USA
<input checked="" type="checkbox"/> Additional inventors or a legal representative are being named on additional sheet(s)			

DECLARATION		ADDITIONAL INVENTOR(S) Supplemental Sheet	
NAME OF THIRD INVENTOR:		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
<u>Given Name</u> (first and middle [if any]) Dosl		<u>Family Name</u> <u>or Surname</u> Dosev	
Inventor's Signature		Date	
<u>Residence: City</u> Davis	<u>State</u> CA	<u>Country</u> USA	<u>Citizenship</u> Bulgaria
<u>Mailing Address</u> 1805 Anderson Road, #53			
<u>City</u> Davis	<u>State</u> CA	<u>ZIP</u> 95616	<u>Country</u> USA
NAME OF FOURTH INVENTOR:		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
<u>Given Name</u> (first and middle [if any]) Darlina		<u>Family Name</u> <u>or Surname</u> Melnikov	
Inventor's Signature		Date	
<u>Residence: City</u> Carmichael	<u>State</u> CA	<u>Country</u> USA	<u>Citizenship</u> USA
<u>Mailing Address</u> 5929 Shirley Avenue			
<u>City</u> Carmichael	<u>State</u> CA	<u>ZIP</u> 95608	<u>Country</u> USA
NAME OF FIFTH INVENTOR:		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
<u>Given Name</u> (first and middle [if any]) Mikaela		<u>Family Name</u> <u>or Surname</u> Nichkova	
Inventor's Signature		Date	
<u>Residence: City</u> Davis	<u>State</u> CA	<u>Country</u> USA	<u>Citizenship</u> Bulgaria
<u>Mailing Address</u> 1050 Lake Blvd, #32			
<u>City</u> Davis	<u>State</u> CA	<u>ZIP</u> 95616	<u>Country</u> USA
<input type="checkbox"/> Additional inventors or a legal representative are being named on additional sheet(s).			

DECLARATION FOR UTILITY OR DESIGN PATENT APPLICATION (37 CFR 1.63) <input type="checkbox"/> Declaration Submitted With Initial Filing OR <input checked="" type="checkbox"/> Declaration Submitted after Initial Filing (surcharge (37 CFR 1.16 (e)) required)	Attorney Docket Number	23540-09582 (2004-167-2)
	First Named Inventor	Bing Guo
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	Application Number	10/576,776
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PCT/US2004/035295	WO	10/22/2004	<input type="checkbox"/>	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
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DECLARATION – Utility or Design Patent Application

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Name

Address

City

State

ZIP

Country

Telephone

Fax

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NAME OF SOLE OR FIRST INVENTOR:

☐ A petition has been filed for this unsigned inventor

Given Name

(first and middle [if any])

Bing

Family Name

or Surname

Guo

Inventor's
Signature

Date

Residence: City

Woodland

State

CA

Country

USA

Citizenship

China

Mailing Address

776 Laugenour Drive

City

Woodland

State

CA

ZIP

95776

Country

USA

NAME OF SECOND INVENTOR:

☐ A petition has been filed for this unsigned inventor

Given Name

(first and middle [if any])

Ian M.

Family Name

or Surname

Kennedy

Inventor's
Signature

I. Kennedy

Date

10/17/06

Residence: City

Davis

State

CA

Country

USA

Citizenship

USA

Mailing Address

2754 Eel Place

City

Davis

State

CA

ZIP

95616

Country

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Inventor's Signature			Date
<u>Residence: City</u> Davis	<u>State</u> CA	<u>Country</u> USA	<u>Citizenship</u> Bulgaria
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NAME OF FOURTH INVENTOR:		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
<u>Given Name</u> (first and middle [if any]) Darina		<u>Family Name</u> <u>or Surname</u> Melnikov	
Inventor's Signature			Date
<u>Residence: City</u> Carmichael	<u>State</u> CA	<u>Country</u> USA	<u>Citizenship</u> USA
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NAME OF FIFTH INVENTOR:		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
<u>Given Name</u> (first and middle [if any]) Mikaela		<u>Family Name</u> <u>or Surname</u> Nichkova	
Inventor's Signature			Date
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<u>Given Name</u> (first and middle [if any]) Bing		<u>Family Name</u> <u>or Surname</u> Guo	
Inventor's Signature			Date
<u>Residence: City</u> Woodland	<u>State</u> CA	<u>Country</u> USA	<u>Citizenship</u> China
<u>Mailing Address</u> 776 Laugenour Drive			
<u>City</u> Woodland	<u>State</u> CA	<u>ZIP</u> 95776	<u>Country</u> USA
NAME OF SECOND INVENTOR:		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
<u>Given Name</u> (first and middle [if any]) Ian M.		<u>Family Name</u> <u>or Surname</u> Kennedy	
Inventor's Signature			Date
<u>Residence: City</u> Davis	<u>State</u> CA	<u>Country</u> USA	<u>Citizenship</u> USA
<u>Mailing Address</u> 2754 Eel Place			
<u>City</u> Davis	<u>State</u> CA	<u>ZIP</u> 95616	<u>Country</u> USA
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Inventor's Signature 		Date 11/20/2006	
<u>Residence: City</u> Davis	<u>State</u> CA	<u>Country</u> USA	<u>Citizenship</u> Bulgaria
<u>Mailing Address</u> 1650 Colusa Avenue			
<u>City</u> Davis	<u>State</u> CA	<u>ZIP</u> 95616	<u>Country</u> USA
NAME OF FOURTH INVENTOR:		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
<u>Given Name</u> (first and middle [if any]) Darina		<u>Family Name</u> <u>or Surname</u> Melnikov	
Inventor's Signature		Date	
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<u>Given Name</u> (first and middle [if any]) Mikaela		<u>Family Name</u> <u>or Surname</u> Nichkova	
Inventor's Signature 		Date 11/20/2006	
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			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

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Name

Address

City

State

ZIP

Country

Telephone

Fax

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NAME OF SOLE OR FIRST INVENTOR:

☐ A petition has been filed for this unsigned inventor

Given Name
(first and middle [if any])

Family Name
or Surname

Bing

Guo

Inventor's
Signature

Date

Residence: City

State

Country

Citizenship

Woodland

CA

USA

China

Mailing Address

776 Laugenour Drive

City

State

ZIP

Country

Woodland

CA

95776

USA

NAME OF SECOND INVENTOR:

☐ A petition has been filed for this unsigned inventor

Given Name
(first and middle [if any])

Family Name
or Surname

Ian M.

Kennedy

Inventor's
Signature

Date

Residence: City

State

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Davis

CA

USA

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Mailing Address

2754 Eel Place

City

State

ZIP

Country

Davis

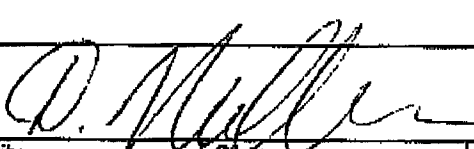
CA

95616

USA

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NAME OF THIRD INVENTOR:		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
<u>Given Name</u> (first and middle (if any))		<u>Family Name</u> or Surname	
Dosi		Dosev	
Inventor's Signature			Date
<u>Residence: City</u>	<u>State</u>	<u>Country</u>	<u>Citizenship</u>
Davis	CA	USA	Bulgaria
<u>Mailing Address</u>			
1650 Colusa Avenue			
<u>City</u>	<u>State</u>	<u>ZIP</u>	<u>Country</u>
Davis	CA	95616	USA
NAME OF FOURTH INVENTOR:		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
<u>Given Name</u> (first and middle (if any))		<u>Family Name</u> or Surname	
Darina		Melnikov	
Inventor's Signature			Date
			1/11/2007
<u>Residence: City</u>	<u>State</u>	<u>Country</u>	<u>Citizenship</u>
Carmichael	CA	USA	USA
<u>Mailing Address</u>			
5929 Shirley Avenue			
<u>City</u>	<u>State</u>	<u>ZIP</u>	<u>Country</u>
Carmichael	CA	95608	USA
NAME OF FIFTH INVENTOR:		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
<u>Given Name</u> (first and middle (if any))		<u>Family Name</u> or Surname	
Mikaela		Nichkova	
Inventor's Signature			Date
<u>Residence: City</u>	<u>State</u>	<u>Country</u>	<u>Citizenship</u>
Davis	CA	USA	Bulgaria
<u>Mailing Address</u>			
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